

SECTION 23. FINANCIAL MANAGEMENT

23.1 General. Managers use two processes at the SARSS2AC level either to adjust the financial records or view the funds expended by a financial account. These are the Financial Adjustment Process and the Financial Download Process.

23.2 Interfaces. The Financial Adjustment Process and the Financial Download Process interface internally with the following files:

a. Financial Control File. This file stores funding information by Routing Identifier Code (RIC) and Funds Indicator Code, allocated, expended, credited, Manager Review File (MRF) dollars, and fund balance values.

b. Decrement File. This file stores transactions from the Issue Referral Process, Interactive Request for Issue Process, and the MRF Process that decrease values on the Financial Control File.

23.3 Process Overview. Two processes are available from the Financial Management Menu: Financial Adjustment Process and Financial Download Process.

23.3.1 Financial Adjustment Process. This process maintains funding information (dollars allocated, dollars expended, dollars credited, MRF dollars, and dollar balance). It computes the dollar balance by subtracting the dollars expended from the dollars allocated and adding the dollars credited.

a. This process keeps separate records for peacetime stock fund, mobilization (MOB) stock fund, and each consumer-funded SARSS1 activity directly below the SARSS2AC. It also keeps separate records for each financial work center for consumer-funded customers supported by a stock-funded SARSS1 activity.

b. At the SARSS2AC level, the Financial Adjustment Process updates the Financial Control File. It enables the manager to adjust financial records manually and to alter the allocated, expended, and credited lines when adjusting the balance. The Financial Control File contains a record of funding information for all supported activities.

(1) The following Funds Indicator Codes are used in the Financial Adjustment Process:

(a) P = Normal retail stock fund.

(b) M = Mobilization retail stock fund.

(c) O = Consumer funds.

(d) S = Specially funded (non-Operation and Maintenance, Army [OMA]).

(2) The purpose of the peacetime and MOB stock fund records is to ensure there are sufficient stock funds to pay wholesale, a higher retail system (theater Army materiel management center [TAMMC]), or local purchase.

(3) The purpose of the consumer fund records is to ensure that there will be sufficient consumer dollars to pay the stock fund.

(4) The purpose of the specially funded (non-OMA) records is to ensure that funds exist for special activities.

(5) The purpose of the MRF dollars is to ensure that funds are available for transactions approved by the manager in the MRF Process (the transaction will have * or / in RP 76).

23.3.2 Financial Download Process. This process allows the user to download the Decrement File, by RIC-FIN-SPT, to the File Disposition Process. The user has to have a user ID on a SARSS Parameter Maintenance User Access (Password) Maintenance Table Record with a RIC-FIN-SPT matching a RIC-FIN-SPT on the Decrement File. In addition, the FIN-ADJ/DOWNLOAD parameter on the password record must be set to Y. This allows the user to download those records as a file to the File Disposition Process. (For more information on this process, refer to Volume III, appendix F of this manual.) Records with a RIC-FIN-SPT other than the user's remain on the Decrement File. Downloaded records display the amount spent by that financial account.

23.4 Input. There is no external input to either the Financial Adjustment Process or the Financial Download Process. The operator enters data interactively to provide input to the system.

23.5 Type Processing. The Financial Adjustment and the Financial Download Processes are interactive.

23.5.1 Process Parameters. Since the Financial Adjustment and the Financial Download Processes are interactive, they do not perform parameter edits. See paragraph 23.5.4 for screen edits.

23.5.2 Accessing the Financial Management Menu. To access the Financial Management Menu from the SARSS Master Menu, move the highlighted bar to the FINM selection and press <Esc>, or, from any screen with an action line, press <F8>, type **FINM**, and press <Esc>. The Financial Management Menu (figure 23.5-1) appears.

DATE: [MM/DD/YY]	FINANCIAL MANAGEMENT MENU	TIME: [HH:MM SS]
COMMAND	PROCESS	
-----	-----	
FIN	FINANCIAL ADJUSTMENT	
FINDN	FINANCIAL DOWNLOAD PROCESS	
ACTION: [] <=== ENTER COMMAND TO CHANGE YOUR PROCESS PCN AJU-122		
<F1> = HELP MENU = PREV MENU; SMM = SARSS MASTER MENU; LOGOUT; <F8> = ACTION		
Mvve highlight to desired selection & Press <ESC> to process; or Press <F8>		

Figure 23.5-1. Financial Management Menu

23.5.3 Operator Actions. Select FIN or FINDN, as necessary, from the Financial Management Menu.

23.5.3.1 Accessing the Financial Adjustment Process. To access the Financial Adjustment Process from the Financial Management Menu, move the highlighted bar to the Financial Adjustment selection and press <Esc>, or press <F8>, type **FIN** on the action line, and press <Esc>. The screen in figure 23.5-2 appears.

```
DATE: [MM/DD/YY]                SARSS FINANCIAL ADJUSTMENT                TIME: [HH:MM SS]

                                RIC OF STORAGE SITE... [  ]
                                FUNDS INDICATOR..... [  ]

                                PRESS <ESC> TO CONTINUE

ACTION: [  ]    <=== ENTER COMMAND TO CHANGE YOUR PROCESS                PCN AJU-017
<F1> = HELP    MENU = PREV MENU; SMM = SARSS MASTER MENU; LOGOUT; <F8> = ACTION

ENTER A VALID SARSS RIC
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Figure 23.5-2. SARSS Financial Adjustment (Enter RIC) Screen

- a. This screen prompts you to enter a valid SARSS RIC.

b. Enter the RIC and funds indicator and press <Esc>. The screen in figure 23.5-3 appears.

FIELD	CURRENT AMOUNT	(+)/ (-)	ADJUSTMENT AMOUNT	NEW AMOUNT
ALLOCATED. . .	[5000000]	[] []	[] []	[] []
EXPENDED. . .	[6000]	[] []	[] []	[] []
CREDITED. . .	[3000]	[] []	[] []	[] []
MRF DOLLARS* . . .	[]	[] []	[] []	[] []
BALANCE. . .	[4997000]	[] []	[] []	[] []

*NOT INCLUDED IN BALANCE

PRESS <ESC> TO CONTINUE

ACTION: [] <F1> = HELP
 F2 CLEAR

<=== ENTER COMMAND TO CHANGE YOUR PROCESS
 MENU = PREV MENU; SMM = SARSS MASTER MENU; LOGOUT; <F8> = ACTION
 F5 MODIFY F7 DELETE

PCN AJU-018

Figure 23.5-3. SARSS Financial Adjustment (Record) Screen

c. This screen displays three function key selections.

d. Press <F5> to modify data. The screen in figure 23.5-4 appears and displays current data in the file.

FIELD	CURRENT AMOUNT	(+)/ (-)	ADJUSTMENT AMOUNT	NEW AMOUNT
ALLOCATED. . .	[5000000]	[-]	[]	[]
EXPENDED. . .	[6000]	[]	[]	[]
CREDITED. . .	[3000]	[]	[]	[]
MRF DOLLARS* . . .	[]	[]	[]	[]
BALANCE. . .	[4997000]	[]	[]	[]

*NOT INCLUDED IN BALANCE

PRESS <ESC> TO CONTINUE

ACTION: [] <==== ENTER COMMAND TO CHANGE YOUR PROCESS PCN AJU-018
 <F1> = HELP MENU = PREV MENU; SMM = SARSS MASTER MENU; LOGOUT; <F8> = ACTION
 F2 CLEAR F5 CFM ADD F6/EDIT SCREEN

EITHER A '+' OR '-' MUST BE ENTERED WHEN AN ADJ. AMT. IS ENTERED

Figure 23.5-4. SARSS Financial Adjustment Screen

e. When the system adds a Direct Support Unit Standard Supply System (DS4) Department of Defense Activity Address Code (DODAAC) Record to the file, it does not determine if a consumer funds record is present for the DS4 activity. The system must therefore perform the following:

- (1) A consumer funds check and adjustment at the SARSS2AC for DS4 requests.
- (2) A consumer funds check and adjustment when the request for issue is from a SARSS1 activity supported by the same SARSS2B.

f. Make appropriate changes and press <Esc> to process. The screen in figure 23.5-5 appears.

FIELD	CURRENT AMOUNT	(+)/ (-)	ADJUSTMENT AMOUNT	NEW AMOUNT
ALLOCATED. .	[\$50000.00]	[+]	[\$5000.00]	[\$55000.00]
EXPENDED. . .	[\$60.00]	[]	[\$0.00]	[\$60.00]
CREDITED. . .	[\$30.00]	[]	[\$0.00]	[\$30.00]
MRF DOLLARS* . . .	[]	[]	[]	[]
BALANCE. . .	[\$49970.00]	[]	[]	[\$54970.00]

*NOT INCLUDED IN BALANCE

PRESS <ESC> TO CONTINUE

ACTION: [] <F1> = HELP
 F2 CLEAR

<=== ENTER COMMAND TO CHANGE YOUR PROCESS
 MENU = PREV MENU; SMM = SARSS MASTER MENU; LOGOUT; <F8> = ACTION
 F5 F6/EDIT
 CFM MDD SCREEN

PCN AJU-018

Figure 23.5-5. SARSS Financial Adjustment Change Confirmation Screen

g. The SARSS Issue Referral Process checks consumer funds availability for DS4. If funds are insufficient to cover consumer requests, the process refers those requests to the MRF with Reason Referred Code 46 or 61, as appropriate.

23.5.3.2 Accessing the Financial Download Process. To access the Financial Download Process from the Financial Management Menu, move the highlighted bar to the Financial Download Process selection and press <Esc>, or press <F8>, type **FINDN** on the action line, and press <Esc>.

a. When there are no records to be processed for the applicable RIC-FIN-SPT on the Decrement File, the screen in figure 23.5-6 appears.

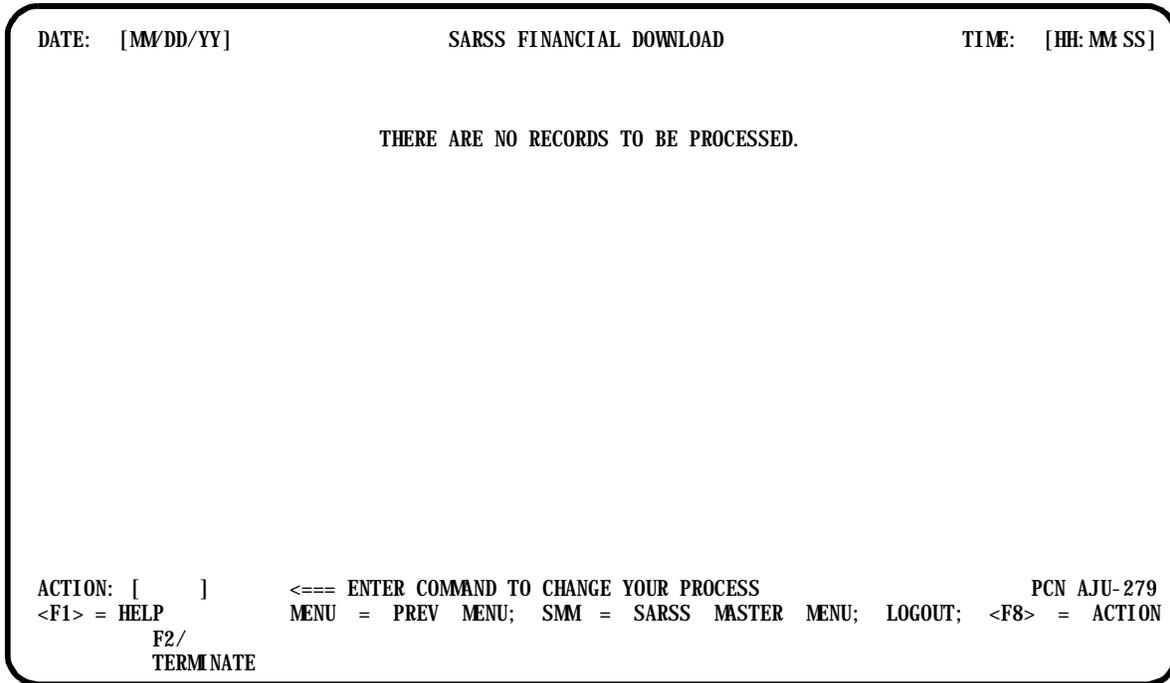


Figure 23.5-6. SARSS Financial Download Screen

b. When there are records to be processed, the screen in figure 23.5-7 appears.

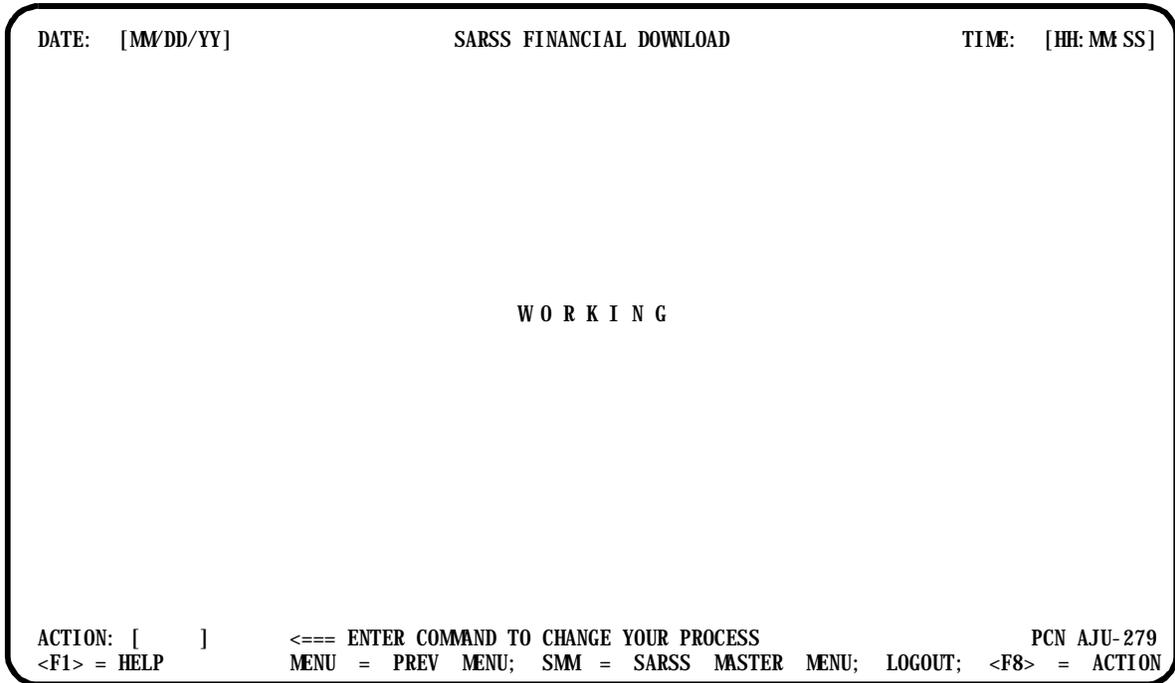


Figure 23.5-7. SARSS Financial Download (Working) Screen

c. When the system formats the records for the appropriate RIC-FIN-SPT, the screen in figure 23.5-8 appears.

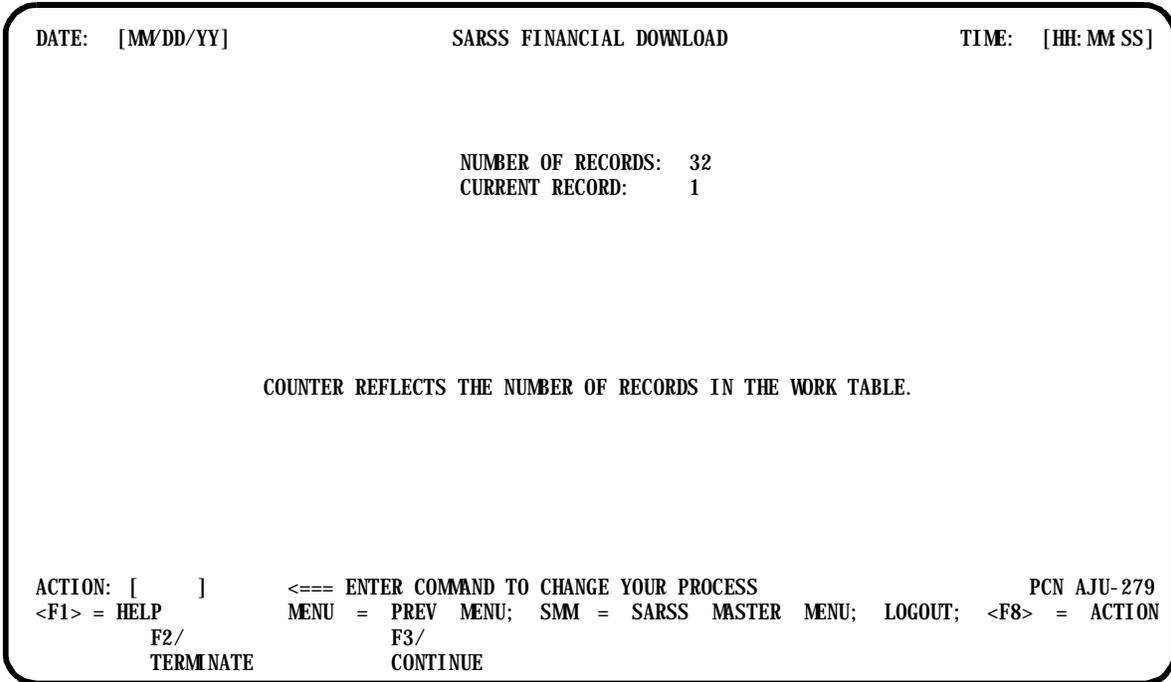


Figure 23.5-8. SARSS Financial Download (Counter) Screen

d. The SARSS Financial Download (Counter) screen displays the number of records currently in the Decrement File. You have two options:

- (1) F2/Terminate allows you to terminate the process. The table will remain intact.
- (2) F3/Continue downloads the records to the File Disposition Process.

- e. Press <F3> to download the records. The screen in figure 23.5-9 appears.

```
DATE: [MM/DD/YY]                SARSS FINANCIAL DOWNLOAD                TIME: [HH:MM:SS]

                                NUMBER OF RECORDS: 32
                                CURRENT RECORD:    32

                                YOUR FILENAME IS: /ajp/rpt/U999AJU1/UT4PH2F1.259
                                COUNTER REFLECTS THE NUMBER OF RECORDS IN THE WORK TABLE.

ACTION: [      ]    <=== ENTER COMMAND TO CHANGE YOUR PROCESS                PCN AJU-279
<F1> = HELP        MENU = PREV MENU; SMM = SARSS MASTER MENU; LOGOUT; <F8> = ACTION

ENTER COMMAND TO CHANGE YOUR PROCESS.
```

Figure 23.5-9. SARSS Financial Download (Filename) Screen

f. The SARSS Financial Download (Filename) screen indicates that records have been downloaded to the File Disposition Process. The filename listed tells you the name of the file that contains the records that have been sent.

23.5.4 Edits. You can edit the allocated, expended, and credited dollar values for the Financial Adjustment Process. These edits will alter the dollar balance.

- a. Requirements for performing these edits are as follows:
 - (1) The RIC entry must be three alphanumeric characters and a valid RIC on the RIC Table.
 - (2) The Funds Indicator Code must be P, M, O, or S.
 - (3) The RIC and Funds Indicator Code must be on the Financial Control File.
- b. The system edits your entries to ensure that changes, credits, additions, deletions, allocations, and modifications are all numeric.
- c. There are no edits for the Financial Download Process.

23.6 Output. There is no output from the Financial Adjustment Process. The Financial Download Process outputs records to the File Disposition Process. Through this process, you can send the contents of the file to another file or to print.

ADSM 18-L1Q-AJP-ZZZ-EM
Volume I
14 August 1998

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